10/824860-Conf. #7246

PTO/SB/21 (09-04)

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Application Number

# TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

| Filing Date          | April 14, 2004        |
|----------------------|-----------------------|
| First Named Inventor | James J. Modliszewski |
| Art Unit             | N/A                   |
| Examiner Name        | Not Yet Assigned      |

**Attorney Docket Number** 10884-00013-US Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please X Other Endocated Identify below): Extension of Time Request **Terminal Disclaimer** PTO/SB/08 **Express Abandonment Request** Request for Refund Return Postcard Copies of 20 documents Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name CONNOLLY BOVE LODGE & HUTZ LLP Signature Printed name Liza D. Hohenschutz Date Reg. No. 33,712



Docket No.: 10884-00013-US

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: James J. Modliszewski et al.

Application No.: 10/824860 Confirmation No.: 7246

Filed: April 14, 2004 Art Unit: N/A

For: HOMOGENEOUS, THERMOREVERSIBLE

GEL FILM CONTAINING KAPPA-2 CARRAGENAN AND SOFT CAPSULES

MADE THEREFROM

Examiner: Not Yet Assigned

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: 10/824860 Docket No.: 10884-00013-US

An English language abstract and partial translation of the following published Japanese applications is provided: JP 01-143827, JP 05-43471, JP 09-25228, JP 2001-39863, and JP 2003-299714.

A partial translation of the following published applications is provided: JP 2005-112849 and JP 2005-508359.

WO 01/03677 is an English language counterpart of JP 2003-504326. U.S. Patents 6,340,473 and 6,582,727 also appear to be counterparts of WO 01/03677.

US 2005/0037064 is an English language counterpart of JP 2005-513255.

WO 03/035044 is a counterpart of JP 2005-508359. WO 03/035044 is in the German language, but contains an English language abstract on the front page.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be

Application No.: 10/824860 Docket No.: 10884-00013-US

filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 10884-00013-US. A duplicate copy of this paper is enclosed.

Dated: August 13, 3005

Respectfully submitted,

Liza D. Hohenschutz

Registration No.: 33,712

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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/824860-Conf. #7246 INFORMATION DISCLOSURE Filing Date April 14, 2004 STATEMENT BY APPLICANT James J. Modliszewski First Named Inventor N/A Art Unit (Use as many sheets as necessary) Examiner Name Not Yet Assigned 10884-00013-US 1 Sheet of Attorney Docket Number

| U.S. PATENT DOCUMENTS |              |   |                  |                             |   |  |
|-----------------------|--------------|---|------------------|-----------------------------|---|--|
| Examiner Cite D       |              | Document Number                           | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |  |
| Initials*             | Cite<br>No.1 | Number-Kind Code <sup>2</sup> ( if known) |                  | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |  |
|                       | AA*          | US-2005/0037064                           | 02-17-2005       | Basquin et al.              |   |  |
|                       | AB           | US 6,340,473                              | 01-22-2002       | Tanner et al.               |   |  |
|                       | AC           | US 6,582,727                              | 06-24-2003       | Tanner et al.               |   |  |

| FOREIGN PATENT DOCUMENTS |              |  |                                   |  |   |                |
|--------------------------|--------------|--|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>©</sup> |
|                          | BA           | JP-01-143827   | 06-06-1989                        | Fuji Capsule K.K.                                  |   |                |
|                          | BB           | JP-05-43471  | 02-23-1993                        | Alfa Wasserman Spa                                 |   |                |
|                          | BC           | JP-09-25228  | 01-28-1997                        | Eisai Co., Ltd.                                    |   |                |
|                          | BD           | JP-2001-39863  | 02-13-2001                        | Fuji Oil Co., Ltd.                                 |   |                |
|                          | BE           | JP-2003-299714   | 10-21-2003                        | Fuji Capsule KK                                    |   |                |
|                          | BF           | JP-2003-504326   | 02-04-2003                        | R. P. Scherer<br>Technologies, Inc.                |   | See BK         |
|                          | BG           | JP-2004-167084   | 06-17-2004                        | Ina Food KK  |   |                |
|                          | вн           | JP-2005-112849   | 04-28-2005                        | Fuji Capsule KK                                    |   |                |
|                          | ВІ           | JP-2005-508359   | 03-31-2005                        | Innogel AG   |   |                |
|                          | BJ           | JP-2005-513255   | 05-12-2005                        | Ulice and Pierre Fabre<br>Medicament               |   | See AA         |
|                          | ВК           | WO-01/03677  | 01-18-2001                        | R. P. Scherer<br>Technologies, Inc.                |   |                |
|                          | BL           | WO-03/035044   | 05-01-2003                        | Innogel AG   |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

#### NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|----------------------|--------------|---|----------------|
|                      | CA           | JP01-143827 - English Abstract (Micropatent) and partial English translation  |                |
|                      | СВ           | JP05-43471 - English Abstract (Micropatent) and partial English translation   |                |
|                      | CC           | JP09-25228 - English Abstract (Micropatent) and partial English translation   |                |
|                      | CD           | JP2001-39863 - English Abstract (Micropatent) and partial English translation   |                |
|                      | CE           | JP2003-299714 - English Abstract (Micropatent) and partial English translation  |                |
|                      | CF           | JP2004-167084 - English Abstract (Micropatent) and partial English translation  |                |
|                      | CG           | JP2005-112849 – partial English translation   |                |
|                      | СН           | JP2005-508359 – partial English translation   |                |

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| Examiner  | Date       |  |
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Application No. (if known): 10/824860

Attorney Docket No.: 10884-00013-US

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